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2008 AUG -5 PM 4:43

PATENT

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#09736475

RECEIVED

Patent No. : 6,907,023 Confirmation No.: 6260 AUG 08 2008

Issued : 06/14/2005 Customer No. : 23696 OFFICE OF PETITIONS

Docket No. : 040819CIP2 Applicant : QUALCOMM Incorporated

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

08/07/2008 DALLEN 00000012 170026 09736475

01 FC:1461 1068.00 DA

**NOTIFICATION OF LOSS OF ENTITLEMENT TO SMALL ENTITY STATUS  
AND PAYMENT OF DEFICIENCY OWED**

Sir:

Pursuant to MPEP §509.03 and 37 C.F.R. §1.27(g)(2), QUALCOMM Incorporated, a large entity, informs the Patent Office that it has acquired by assignment dated 07/30/2004 the entire right, title, and interest in and to this application and the invention that is the subject thereof. Therefore this application no longer qualifies for small entity status. QUALCOMM Incorporated requests the Patent Office to treat this paper as the specific written assertion of the notification of loss of entitlement to small entity status as set forth in MPEP §509.03 (VII). Accordingly, the assignee will be paying large entity fees from this point forward.

In addition, the assignee supplements this notification pursuant to 37 C.F.R. §1.28(c) by submitting herewith an additional fee amount of \$1,068.00 for the deficiency of payments erroneously paid under the small entity fee schedule, itemized on the following page.

**CERTIFICATE OF MAILING (37 CFR 1.8(a))**

I hereby certify that this correspondence is, on the date shown below, being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Depositor's Name:

Anneke Hergenroeder  
(type or print name)

Date:

7/31/08

Document	Small Entity Fee Erroneously Paid/Date	(Current) Large Entity Fee Amount	Deficiency Amount Owed
Amendment After Non-Final – (8 excess claims)	\$72.00 on November 13, 2004 (8 claims at \$9.00 per claim)	\$400.00 (8 claims at \$50.00 per claim)	\$328.00
Issue Fee Payment	\$700.00	\$1,440.00	\$740.00

The delay in notifying the U.S. Patent and Trademark Office of the status change, and not paying the large entity fees, was unintentional and without deceptive intent. The USPTO is hereby authorized to charge Deposit Account 17-0026 for \$1,068.00 + (any other itemized fees). If any additional fees are required, the Commissioner is authorized to charge Deposit Account 17-0026 for said fees.

Respectfully submitted,

Dated

July 31, 2008

Charles D. Brown

Charles D. Brown, Reg. No. 28,285  
Attorney for Assignee  
Phone No. 858.651.6731

QUALCOMM Incorporated  
Attn: Patent Department  
5775 Morehouse Drive  
San Diego, California 92121-1714  
Telephone: (858) 658-5787  
Facsimile: (858) 658-2502



PTO/SB/21 (10-07)

Approved for use through 10/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

4

Application Number

09/736,475

Filing Date

12/13/2000

First Named Inventor

Daniel MCKENNA

Art Unit

2665

Examiner Name

Duc Chi HO

Attorney Docket Number

040819CIP2

**RECEIVED****AUG 08 2008****OFFICE OF PETITIONS****ENCLOSURES (Check all that apply)**

Fee Transmittal Form



Fee Attached



Amendment/Reply



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Document(s)

Reply to Missing Parts/  
Incomplete ApplicationReply to Missing Parts  
under 37 CFR 1.52 or 1.53

Drawing(s)



Licensing-related Papers



Petition

Petition to Convert to a  
Provisional ApplicationPower of Attorney, Revocation  
Change of Correspondence Address

Terminal Disclaimer



Request for Refund



CD, Number of CD(s) \_\_\_\_\_

☐ Landscape Table on CD

Remarks



After Allowance Communication to TC

Appeal Communication to Board  
of Appeals and InterferencesAppeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)

Proprietary Information



Status Letter

Other Enclosure(s) (please identify  
below):NOTIFICATION OF LOSS OF  
ENTITLEMENT TO SMALL ENTITY STATUS**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name

QUALCOMM Incorporated

Signature

Printed name

Charles D. Brown

Date

July 31, 2008

Reg. No.

28,285

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

Typed or printed name

Angela Hergenroeder

Date

7/31/08

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	09/736,475	<b>RECEIVED</b>
	Filing Date	12/13/2000	
	First Named Inventor	Daniel MCKENNA	<b>AUG 08 2008</b>
	Art Unit	2665	
	Examiner Name	Duc Chi HO	<b>OFFICE OF PETITIONS</b>
Attorney Docket Number	040819CIP2		
Total Number of Pages in This Submission		4	

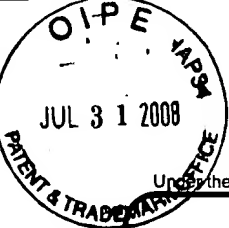
ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):  NOTIFICATION OF LOSS OF ENTITLEMENT TO SMALL ENTITY STATUS
<div>Remarks</div>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	QUALCOMM Incorporated		
Signature	<i>Charles D. Brown</i>		
Printed name	Charles D. Brown		
Date	<i>July 31, 2008</i>	Reg. No.	28,285

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Signature	<i>Angela Hergenroeder</i>		
Typed or printed name	<i>Angela Hergenroeder</i>	Date	<i>7/31/08</i>

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/17 (10-07)

Approved for use through 06/30/2010. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

# FEE TRANSMITTAL

## For FY 2008

☐ Applicant claims small entity status. See 37 CFR 1.27TOTAL AMOUNT OF PAYMENT (\$)  
1,068.00**Complete if Known**

Application Number 09/736,475

Filing Date 12/13/2000

First Named Inventor Daniel MCKENNA

Examiner Name Duc Chi HO

Art Unit 2665

Attorney Docket No. 040819CIP2

RECEIVED

AUG 08 2008

OFFICE OF PATENTS

**METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify):☒ Deposit Account Deposit Account Number: 17-0026 Deposit Account Name: QUALCOMM Incorporated

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	310	155	510	255	210	105	
Design	210	105	100	50	130	65	
Plant	210	105	310	155	160	80	
Reissue	310	155	510	255	620	310	
Provisional	210	105	0	0	0	0	

**2. EXCESS CLAIM FEES**

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	210	105
Multiple dependent claims	370	185

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
- 20 or HP =	x	=	

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
- 3 or HP =	x	=	

HP = highest number of independent claims paid for, if greater than 3.

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$260 (\$130 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	/ 50 =	(round up to a whole number) x	=	

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Deficiency amount owed, paid Small Entity fees instead of Large Entity

Fees Paid (\$)

1068.00

**SUBMITTED BY**

Signature	Charles D. Brown	Registration No. (Attorney/Agent)	28,285	Telephone	858-651-6731
Name (Print/Type)	Charles D. Brown	Date	July 9, 2008		

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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